

12-10-01

A



110367 10/05/01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
(Only for new nonprovisional applications under  
37 CFR 1.53(b))

Attorney Docket No.	P6829
First Inventor	Michael S. Clark
Title	SERVICE PORTAL WITH APPLICATION FRAMEWORK FOR FACILITATING APPLICATION AND FEATURE DEVELOPMENT
Express Mail Label No.	EL 791519401 US

**APPLICATION ELEMENTS**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- |  |  |
|--|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form<br>(submit an original and a duplicate for fee processing)   | 6. <input type="checkbox"/> Application Data Sheet. (See 37 CFR 1.76)  |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27   | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table<br>or Computer Program (Appendix)   |
| 3. <input checked="" type="checkbox"/> Specification [ total pages <u>30</u> ]<br>(preferred Arrangement set forth below)  | 8. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)  |
| - Descriptive title of the Invention   | a. <input type="checkbox"/> Computer Readable Form   |
| - Cross References to Related Applications   | b. <input type="checkbox"/> Specification Sequence Listing on:   |
| - Statement Regarding Fed sponsored R&D  | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or  |
| - Reference to sequence listing, a table, or a<br>computer program listing appendix  | ii. <input type="checkbox"/> paper   |
| - Background of the Invention  | c. <input type="checkbox"/> Statements verifying identity of above copies  |
| - Brief Summary of the Invention   |  |
| - Brief Description of the Drawings  |  |
| - Detailed Description   |  |
| - Claim(s)   |  |
| - Abstract of the Disclosure   |  |
| 4. <input checked="" type="checkbox"/> Drawing(s) [ total sheets <u>3</u> ]  |  |
| 5. <input checked="" type="checkbox"/> Oath or Declaration [ total sheets <u>3</u> ]   |  |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy)   | 9. <input checked="" type="checkbox"/> Assignment Papers (coversheet/document(s))  |
| b. <input type="checkbox"/> Copy from prior appl. (37 C.F.R. § 1.63(d))  | 10. <input type="checkbox"/> 37 CFR. 3.73(b) Statement <input checked="" type="checkbox"/> Power of<br>(when there is an assignee) Attorney            |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br>Signed statement attached deleting<br>inventor(s) named in prior application,<br>see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). | 11. <input type="checkbox"/> English Translation Document  |
|  | 12. <input checked="" type="checkbox"/> IDS & Form 1449 <input checked="" type="checkbox"/> Copies of IDS<br>Citations                                 |
|  | 13. <input type="checkbox"/> Preliminary Amendment   |
|  | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)   |
|  | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)  |
|  | 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122<br>(b)(2)(B)(i). Applicant must attach form<br>PTO/SB/35 or its equivalent. |
|  | 17. <input type="checkbox"/> Other:  |

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	or <input checked="" type="checkbox"/> Correspondence address below				
Name	William J. KUBIDA, Esq.				
	Hogan & Hartson, LLP				
Address	1200 17 <sup>th</sup> Street, Suite 1500				
City	Denver	State	CO	ZIP	80202
Country	US	Telephone	(719) 448-5900	Fax	(719) 448-5922

Name (Print/Type)	Kent A. Lembke	Registration No.	44,866	
(Signature)	<i>Kent A. Lembke</i>		Date	11/05/01

# FEE TRANSMITTAL for FY 2002

**TOTAL AMOUNT OF PAYMENT (\$)** **(\$ 950.)**

Complete if Known	
Application Number	[Not Yet Assigned]
Filing Date	November 5, 2001
First Named Inventor	Michael S. Clark
Examiner Name	[Not Yet Assigned]
Group / Art Unit	[Not Yet Assigned]
Attorney Docket No.	P6829

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	<b>50-1123</b>
Deposit Account Name	<b>Hogan &amp; Hartson L.L.P.</b>
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
<b>Payment Enclosed:</b> <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge – late filing fee or oath	
127	50	227 25 Surcharge – late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive – unavoidable	
141	1,280	241 640 Petition to revive – unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	120.00
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

**(\$120.00)**

## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
25	3		-20**= 5	X 18 = 90 00	
			-3**= 0	X 0 = 0	
				= 0	

\*\*or number previously paid, if greater, For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim, if not paid
109	84	209 42 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

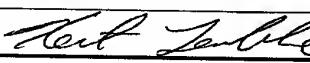
**(\$90.00)**

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

**(\$120.00)**

## SUBMITTED BY

Name (Print/Type)	Complete (if applicable)		
Kent A. Lembke	44,866	(720) 406-5378	
			11/05/01

EXPRESS MAIL NO. EL 791519401 US  
Attorney Docket No. P6829  
Client/Matter No. 80168.0249

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael S. Clark, Philip E. Braum,  
and Larry W. Davis

Serial No. NEW

Filed: Herewith

For: SERVICE PORTAL WITH  
APPLICATION FRAMEWORK FOR  
FACILITATING APPLICATION AND  
FEATURE DEVELOPMENT

Group Art Unit: [Not Yet Assigned]

Examiner: [Not Yet Assigned]

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Utility Patent Application Transmittal
2. Fee Transmittal
3. Utility Patent Application
4. 3 sheets of drawings
5. Executed Combined Declaration and Power of Attorney
6. Recordation Form Cover Sheet PTO 1595 with Executed Assignments
7. Information Disclosure Statement with Form PTO-1449
8. \$950.00 filing fee
9. Return postcard
10. Certificate of Mailing by Express Mail

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL 791519401 US, with the United States Postal Service, addressed to Box PATENT APPLICATION, The Assistant Commissioner for Patents, Washington, D.C., 20231, November 5, 2001.

November 5, 2001  
Date

November 5, 2001  
Date

Kent A. Lembke  
Mailer

Kent A. Lembke  
Kent A. Lembke  
Reg. No. 44,866  
HOGAN & HARTSON LLP  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5909 Tel  
(303) 899-7333 Fax